1	Performance Evaluation of Surface Riding Wave Energy Converter with Linear Electric
2	Generator
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4	Chungkuk Jin*, HeonYong Kang, MooHyun Kim, Farid P. Bakti
5	Department of Ocean Engineering, Texas A&M University,
6	College Station, TX, USA
7	* Corresponding author: kenjin0519@gmail.com
8	
9	Abstract
10	In this study, we devised a new WEC (wave energy converter) called SR-WEC (Surface
11	Riding WEC). The SR-WEC consists of two bodies: the outer cylinder with an armature assembly
12	(body #1) and a magnet assembly (body #2) sliding inside the armature. For the SR-WEC, the
13	relative sliding displacement and velocity are caused by gravity acceleration and the outer
14	cylinder's motions, and they lead to electrical power generation. To evaluate its performance, a
15	numerical simulation tool was developed, which solves the fully-coupled floater-mooring-
16	generator dynamics. During the developing stage, the appropriate hydrodynamics model, sliding
17	mechanics model, mooring dynamics model, and LEG (linear electric generator) electro-magnetic
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model were independently developed and then fully coupled in time domain to account for the 18 cross-coupling interactions among them. Then, the developed simulation tool was verified 19 component by component against various laboratory tests. Subsequently, systematic parametric 20 studies were conducted with several important design parameters under various wave conditions 21 to enhance power generation. After that, the average output power was evaluated in enlarged 22 operational wave conditions. The present SR-WEC is particularly designed to be efficient at low 23 sea states, which is good since they cover the majority of typical annual sea states. 24

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26 Keywords: Wave energy converter, surface riding, linear electric generator, mooring line, floatermooring-generator interaction, time-domain simulation 27

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#### **1. Introduction** 29

Renewable energy resources, such as wind, ocean wave, and solar energy, have been 30 considered as an alternative and environmentally friendly option to replace conventional energy 31 resources. They are expected to account for 47.7% of the total energy consumption in 2040 based 32 on a global renewable energy scenario [1]. Among them, ocean waves are a promising energy 33 resource because of its highest energy density (2-3 kW/m<sup>2</sup>), continuous availability (up to 90% of 34 the time), and minimal negative environmental impact [2]. The global gross resource of the ocean 35 wave is estimated to be in a range of 1-10 TW [3, 4]. 36

In this regard, various WECs (wave energy converters) have been proposed. Among many 37 technical aspects, the proper selection of the PTO (power take-off) system is important in 38 improving conversion efficiency. Hydraulic systems (e.g. Pelamis [5]), turbines (e.g. Wave 39 Dragon [6]), and direct-drive WECs (e.g. PowerBuoy [7]) are popular PTO systems. Various types 40

of WEC devices and their simulation methodologies were presented in Ref. [8]. The hydraulic 41 PTO system requires additional energy conversion to operate the rotary generator, which makes a 42 device complex. Additional energy losses are inevitable in the process of double energy 43 conversion. Also, the complexity of the conversion process can lead to reliability and maintenance 44 issues [9], especially in harsh ocean environments. On the other hand, direct-drive WECs with the 45 LEG (linear electric generator) produce power from the relative translational motions between the 46 permanent magnet and coiled armature. They do not require any intermediate step; thus, the design 47 can be simpler [2]. 48

In recent decades, a number of experiments and numerical studies have been performed on 49 the direct-drive WECs. For example, Prudell et al. [10] devised a freely floating dual-buoy WEC 50 and conducted a laboratory experiment to estimate its performance. Kim et al. [11] designed a 51 dual-buoy WEC, which utilized three resonant motions of two bodies and moon-pool, and carried 52 out a laboratory experiment under regular and random wave conditions. Their experimental results 53 were also compared with the results of time-domain dynamics simulations under the random-wave 54 excitation [12]. Lejerskog et al. [13] conducted large-scale sea tests of the point absorber that was 55 mounted at the seabed of the Lysekil research site in Sweden. They concluded that higher output 56 power can be achieved in upward motions than downward motions. Stelzer and Joshi [14] also 57 studied a similar point absorber under random wave excitations using numerical simulations. 58 Zheng et al. [15] designed the variable aperture point absorber for enhancing survivability, 59 reducing cost, and improving wave power absorption. Linear and nonlinear hydrodynamic models 60 were compared for the two-body WEC [16] and submerged point absorber [17]. In addition, 61 various design-optimization strategies have been adopted to improve the performance of the direct-62 drive WEC. Parametric studies were performed to find the proper generator and structural design 63 [18, 19]. Resonance of dual-body WECs was adjusted to maximize the relative motion by using 64 linear springs connected between two bodies [20-22]. The tuned inertia mass was utilized in a 65 point absorber to increase power absorption and broaden the effective wave frequency range [23]. 66 Control systems, such as latching control [24] and model predictive control [25], were adopted to 67 improve the overall output power. 68

In this study, we developed a hydro-dynamics/linear-generator fully coupled simulation 69 program to investigate the performance of an innovative SR-WEC (Surface Riding WEC) devised 70 by authors [26]. It mainly consists of an outer cylinder equipped with a coiled armature assembly 71 and a magnet assembly sliding along a center rod (see Fig. 1). Compared to other direct-drive 72 WECs that utilize relative heave motions, SR-WEC uses the sliding motion inside a surface-riding 73 and pitching horizontal cylinder. It is hard to find similar concepts and relevant simulations in 74 publicly available literature [27]. The SR-WEC is specially devised to generate appreciable 75 electrical power even in low sea states, which typically cover 90 % of the annual sea state e.g. East 76 Coast in the U.S. (31.887 N 74.921 W). It is possible since both wave heights and lengths are 77 reduced in low sea states for wave slopes to remain about the same. SR-WEC generates the sliding 78 motion by the gravity acceleration, while most existing LEG-based devices utilize inertial 79 acceleration. Various optimization methods can be employed to enhance output power further. 80

To evaluate the SR-WEC's performance, the present time-domain simulation program was 81 developed and used to solve the fully-coupled floater-mooring-generator interaction. Several 82 major considerations and algorithms were made to develop the numerical model. First, the outer 83 cylinder interacts with waves, and thus its hydrodynamic coefficients and wave-excitation force 84 85 were estimated based on potential theory in frequency domain [28] and they were utilized in the subsequent time-domain motion-simulation program. Second, the sliding mechanism of the 86 magnet assembly with time-varying contact and friction forces should be well understood and 87 modeled. Third, a reasonable collision model was developed at both ends of the outer cylinder to 88 assess the impact-induced velocity, load, and fatigue by the magnet assembly. Fourth, the PTO 89 force between the coil on the outer cylinder and the sliding magnet assembly should be well 90 estimated to correctly estimate the resisting force and generated power. Fifth, a single point 91 mooring system is installed and connected to the outer cylinder for a station-keeping purpose, and 92 the floater-mooring interaction should be solved. The numerical modeling of sliding mechanism 93 and collision at both ends was validated through comparisons with the physical system after 94 mounting and pitching the SR-WEC on harmonic actuators with the PTO off. The numerical 95 generator dynamics was also verified through comparisons with the laboratory experiments of 96 Prudell et al. [10] with PTO on. Afterward, a series of parametric studies were performed with the 97 validated numerical model to better understand the system's sensitivity on the design parameters 98 so that the results can be used as the improved design for given annual sea states. 99





(b) Working principle

Fig. 1. Design and operation principle of the SR-WEC.

## 101 102

#### **2. Design and Operation Principle**

The design and operation principle of SR-WEC are presented in Fig. 1. The device mainly 104 consists of the outer cylinder equipped with the armature assembly and the magnet assembly. The 105 magnet assembly is composed of neodymium (NdFeB) magnets, supporting lamination steel, and 106 linear ball bearings in detail. The linear ball bearings in the magnet assembly are in contact with a 107 fixed center rod, which allows single-degree-of-freedom (SDOF) motion in the sliding direction 108 along the center rod. The armature assembly, composed of coil and lamination steel, is installed to 109 the outer cylinder and surrounds the magnet assembly. The outer cylinder interacts with the 110 surrounding ocean fluid. The spring-damper system, which is referred to as the end damper, is 111 located at both ends of the outer cylinder to alleviate collision damage from the magnet assembly. 112 Ring masses are also located at both ends to provide a large mass moment of inertia about the y-113 axis ( $M_{55}$ ) so that pitch natural frequency (1.65 rad/s) can be close to peak frequency in low sea 114 states. As shown in Fig. 2, a single point mooring line is connected to the bottom center of the 115 outer cylinder for position keeping, and cylinder's longitudinal direction is parallel to the dominant 116 wave direction. Table 1 summarizes important design parameters. 117

Electric power is generated by relative motions between the magnet and armature assemblies. As shown in Fig. 1(b), the relative motion by sliding is mainly induced by the pitch motion of the outer cylinder. As the inclination angle is larger than the minimum sliding angle, the magnet assembly starts to slide by gravity. The detailed formulations are given in Section 3.



Fig. 2. Side view of SR-WEC with a mooring line.

Table 1. Important parameters of SR-WEC.

Component	Item	Value	Unit
	Length	8	m
Outer cylinder	Diameter	2.6	m
	Mass	21368	kg
	Length	1	m
Magnat accomply	Diameter	0.38	m
wagnet assembly	Mass	400	kg
	Air gap	0.5	cm
	Phase resistance	4.58	Ω
Linear electric	Phase inductance	190	mH
generator	Magnet pole pitch	72	mm
	Coil pitch	72	mm
	Nominal diameter	1.5	cm
Mooring line	Length	100	m
(Studlink Chain)	Mass/unit length	4.9	kg/m
	Minimum breaking load	263.9	kN
Magg matrix of SD	$M_{11}, M_{22}, M_{33}$	21768	kg
WEC	$M_{44}$	18343	kg·m <sup>2</sup>
W EC	$M_{55}, M_{66}$	273508	kg·m <sup>2</sup>

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### **3.** Coupled Time-Domain Simulation

A hydrodynamics-mechanics-generator fully-coupled time-domain simulation program was developed to assess the performance of the SR-WEC i.e. floater-mooring-generator interactions were solved at each time step to evaluate their dynamic responses, mooring tension, and electric output power. The time-domain dynamic analysis allows non-linear loads to be considered. Section 3 explains the theory and formulations of the time-domain simulation and coupling methods among the floater (i.e. outer cylinder), magnet assembly, and mooring line.

#### 135 **3.1. Floating-Body Model**

In time domain, the 6 DOF dynamic responses of the outer cylinder can be evaluated bysolving the Cummins equation [29] as:

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$$\begin{pmatrix} M_{ij} + A_{ij}^{\infty} \end{pmatrix} \ddot{\xi}_{j}(t) + B_{ij}^{E} \dot{\xi}_{j}(t) + K_{ij} \xi_{j}(t)$$

$$= F_{i}^{W}(t) + F_{i}^{C}(t) + F_{i}^{M}(t) + F_{i}^{G}(t) + F_{i}^{S}(t) \quad i, j = 1, 2, ..., 6$$

$$(1)$$

140

where  $M_{ij}$  is the mass matrix,  $A_{ij}^{\infty}$  is the added mass matrix at the infinite frequency,  $B_{ij}^{E}$  is the 141 external damping matrix,  $K_{ij}$  is the system's stiffness matrix induced by hydrostatic and 142 gravitational stiffness,  $\xi_j = [\xi_1, \xi_2, \xi_3, \xi_4, \xi_5, \xi_6]^T$  is the displacement vector, i.e., surge, sway, 143 heave, roll, pitch, and yaw,  $F_i^W$ ,  $F_i^C$ , and  $F_i^M$  are, respectively, the first-order wave-excitation, 144 convolution, and Morison drag force vectors,  $F_i^G$  is the PTO force vector induced by the 145 interaction between the magnet and armature assemblies, and  $F_i^S$  is the spring force vector to 146 couple the outer cylinder with the mooring line. The upper dot in the equations represents the time 147 derivative of a variable. 148

Based on the assumption of linearity,  $A_{ij}^{\infty}$ ,  $F_i^C$ , and  $F_i^W$  can be obtained from the equivalent relationship between the impulse-response-function-based equations in time domain and the diffraction/radiation-based equations in frequency domain as follows:

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$$A_{ij}^{\infty} = A_{ij}\left(\omega\right) + \int_{0}^{\infty} R_{ij}\left(t\right) \frac{\sin\left(\omega t\right)}{\omega} dt$$
(2)

154 
$$F_i^C(t) = -\int_0^\infty R_{ij}(\tau) \dot{\xi}_j(t-\tau) d\tau$$
(3)

155 
$$R_{ij}(t) = \frac{2}{\pi} \int_{0}^{\infty} B_{ij}(\omega) \cos(\omega t) d\omega$$
(4)

$$F_{i}^{W}(t) = \operatorname{Re}\left(\sum_{j=1}^{N} A_{j}^{1} L_{i}(\omega_{j}) e^{i(k_{j}x - \omega_{j}t - \alpha_{j})}\right)$$
(5)

157

where  $R_{ij}$  is the retardation function,  $A_{ij}$  and  $B_{ij}$  are the added mass and the radiation damping as a function of angular frequency  $\omega$ , and  $A_j^1$ ,  $L_i$ ,  $k_j$ , and  $\alpha_j$  are wave amplitude, the linear transfer function, wavenumber, and random-phase angle, respectively. Frequency-dependent  $A_{ij}$ ,  $B_{ij}$ , and  $L_i$  were obtained by a 3D diffraction/radiation program [28].

The Morison equation is widely used for the estimation of wave forces on slender bodies at its instantaneous position, which is composed of the linear inertia and non-linear drag terms [30]. The Morison equation is recommended for the wave-force evaluation of slender structures

when the ratio of outer diameter D to wavelength  $\lambda_w$  is less than 0.2 ( $D/\lambda_w = 0.12$  at natural 165 166 period=3.81 sec) [31]. Since the inertia term was obtained from the diffraction/radiation potential theory, only the non-linear drag term was added for the viscous-drag-force evaluation. The 167 Morison drag force per unit length for the moving cylindrical body can be written as: 168

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 $F_i^M(t) = \frac{1}{2}\rho C_D D\left(u_i^n - \dot{\xi}_i^n\right) \left|u_i^n - \dot{\xi}_i^n\right|$ where  $\rho$  is the density of water,  $C_D$  is the drag coefficient, and  $u_i$  is the surrounding fluid's

(6)

velocity. Superscript n is the normal direction of a variable. 173 For SR-WEC, the mass of the magnet assembly was designed to be much lighter (1.9%) 174 than that of the outer cylinder. In this regard, we evaluated the dynamic responses of the outer 175

cylinder based on the total mass of the SR-WEC including the mass of the magnet assembly, 176 instead of solving the two-body fully-coupled interaction between the outer cylinder and the 177 magnet assembly. 178

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#### **3.2.** Mooring-Line Model and Coupling with Floating Body 180

As mentioned before, a single-point mooring line was employed to maintain SR-WEC's 181 original position. Therefore, the outer cylinder's motions are to be coupled with the mooring 182 dynamics. Two governing equations were established to estimate the mooring dynamics and 183 tension based on a rod theory [32], in which generalized coordinate was used and twisting motions 184 and moments were neglected. Fig. 3 presents the rod theory's coordinate system. The generalized 185 coordinate system is along the line, and thus the geometric nonlinearity can be automatically 186 considered [33]. In the generalized coordinate system,  $r_i(s,t)$  represents the position vector in 3D, 187 which is a function of arc length s and time t to define space curve,  $r'_i$  denotes the unit tangent 188 vector to the space curve, while  $r_i''$  and  $r_i' \times r_i''$  are the principal normal and bi-normal vectors, 189 respectively. The prime in the equations denotes the spatial derivative of a variable. 190 191



Fig. 3. Rod theory's coordinate system [34].

The equation of motion with the line's tension and bending effects can be described as follows:

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197

$$-\left(EIr_{i}''\right)'' + \left(\tilde{\lambda}r_{i}'\right)' + q_{i}^{D} = m_{D}\ddot{r}_{i} \qquad i = 1, 2, 3$$
(7)

198

where *E* is Young's modulus, *I* is the second moment of cross-sectional area,  $\tilde{\lambda} = \tilde{T} - EI\kappa^2$  with effective tension  $\tilde{T}$  and local curvature  $\kappa$ , while  $q_i^D$  and  $m_D$  are the distributed force vector and mass per unit length. In this case,  $q_i^D$  is the sum of wet weight vector per unit length  $\tilde{w}_i^R$  (  $w_i^R + B_i^R$  where  $w_i^R$  and  $B_i^R$  are weight and buoyancy per unit length) and the wave force vector per unit length  $F_i^D$ . According to the Morison equation,  $F_i^D$  for the cylindrical body can be written as:

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$$F_{i}^{D} = -C_{A}\rho A_{E} \dot{r}_{i}^{n} + C_{M}\rho A_{E} \dot{u}_{i}^{n} + \frac{1}{2}C_{D}\rho D\left(u_{i}^{n} - \dot{r}_{i}^{n}\right) \left|u_{i}^{n} - \dot{r}_{i}^{n}\right|$$
(8)

207

where  $C_A$  and  $C_M$  are, respectively, the added mass and inertia coefficients,  $A_E$  is the external cross-sectional area. With  $\tilde{w}_i^R$  and  $F_i^D$ , Eq. (7) can be rewritten as:

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211 
$$m_D \ddot{r}_i + C_A \rho A_E \ddot{r}_i^n + (EIr_i'')'' - (\tilde{\lambda}r_i')' = \tilde{w}_i^R + C_M \rho A_E \dot{u}_i^n + \frac{1}{2} C_D \rho D(u_i^n - \dot{r}_i^n) |u_i^n - \dot{r}_i^n|$$
(9)

212

The mooring tension can separately be estimated by the extensible condition. Assuming linear and small extension, the mooring tension can be estimated by the following relationship:

(10)

216 
$$r_i' \cdot r_i' = \left(1 + \frac{T}{EA_T}\right) \approx 1 + 2\frac{T}{EA_T} \approx 1 + 2\frac{\lambda}{EA_T}$$

217

where  $A_T = A_E - A_I$  with the internal cross-sectional area  $A_I$ , *T* is the tension, and  $\lambda(=T - EI\kappa^2)$  is the Lagrange multiplier. The equation of motion and extensible condition in Eqs. (9) and (10) are, therefore, governing equations for mooring-line analysis. Finally, Finite Element (FE) formulations of the governing equations were further derived by using the Galerkin method, which is detailed by Refs. [34, 35]. In the FE method, nonlinear behaviors can be captured by dividing a line into multiple high-order elements.

A mooring line was coupled with the outer cylinder through translational and rotational springs, which is a practical approach to connect several objects conveniently. The interaction force is delivered to the outer cylinder as spring force, and equal and opposite force is also

transmitted to the top of the mooring line. Assuming the hinged connection, zero rotational
stiffness was implemented. The spring force vector transmitted from the mooring line to the
floating body can be expressed as [36]:

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- 231

$$F_i^S = \tilde{K}_{ij} \left( \tilde{T}_{jk} \tilde{u}_k^R - \tilde{u}_j^C \right)$$
(11)

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where  $\tilde{K}_{ij}$  represents the coupling stiffness matrix,  $\tilde{T}_{jk}$  denotes the transformation matrix between the floater's origin and the connection position, while  $\tilde{u}_k^R$  and  $\tilde{u}_j^C$  are, respectively, the displacement vectors of the rigid body and connection position.

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#### 237 **3.3. Linear Generator Model**

LEG was modeled to couple the two bodies and generate electric power. In the proposed design, the coiled armature assembly is attached to the outer cylinder whereas the magnet assembly freely slides along the center rod. The relative velocity between the armature and magnet assemblies induces the EMF (electromotive force), whose unit is voltage. According to Faraday's law of induction, the induced EMF  $E_b$  proportionally increases with a change in flux linkage  $\lambda_{fl}(=N_c\phi_m)$  and can be calculated as [37]:

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$$E_b = \frac{d\lambda_{fl}}{dt} = \frac{d\xi_7}{dt} \frac{d\lambda_{fl}}{d\xi_7} = \dot{\xi_7} \frac{d\lambda_{fl}}{d\xi_7}$$
(12)

246

where  $N_c$  is the number of turns,  $\phi_m$  is magnetic flux, and  $\xi_7$  is the displacement of the magnet assembly relative to the armature assembly. The EMF is proportional to the relative velocity. Based on the RL (Resistor-Inductor) circuit, the following relationship can be used to compute the

250 induced current [37]:

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- 252

$$E_b = \left(R_L + R_C\right) \cdot i_c + L_P \frac{di_c}{dt} \tag{13}$$

253

where  $R_L$  and  $R_C$  are load and phase resistances, respectively,  $i_c$  is the induced electric current, and  $L_P$  is the phase inductance. The first-order ODE (ordinary differential equation) should be solved to acquire the induced current, and the fourth-order Runge-Kutta method was used.

After calculating the induced current, the PTO force can be estimated by using the Lorentzforce equation. The electrons of electric current experience the magnetic force under the given magnetic field, which can be regarded as an interaction force between the two bodies. The PTO force on the coiled armature assembly in the sliding direction can be written as:

$$F^{Gn} = i_c \oint d\mathbf{l} \times \mathbf{B}_m = -B_m l_c i_c \tag{14}$$

where  $B_m$  (=  $B_f \cos(\pi \xi_7 / \tau)$ ) with the magnitude of magnetic flux density  $B_f$  and pole pitch  $\tau$ ) is 264 the magnetic flux density, and  $l_c$  is the length of the coil that receives influences by the magnetic 265 266 field at each time. According to Newton's third law, the equal and opposite force also acts on the magnet assembly. Since it acts in the sliding direction, at each time step, the PTO force was 267 decomposed to calculate  $F_i^G$  for the outer cylinder. In general,  $B_f$  can be evaluated from electro-268 magnetic-filed simulation; however, in this study, a parametric study was conducted to find the 269 proper  $B_f$ . In the parametric study,  $B_f l_c$  is defined as the magnitude of EMF (or PTO force). 270 Finally, the generated electric output power can be expressed as: 271

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 $P_o = i_c^2 R_L = i_c V_o \tag{15}$ 

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where  $V_o$  is the output voltage.

### 277 **3.4. Magnet-Assembly Dynamics**

278 Relative-displacement-based SDOF equation of motion for magnet assembly in sliding 279 direction along the center rod can be written as:

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$$m_B \ddot{\xi}_7(t) = F^L(t) - F^{Gn}(t) \tag{16}$$

282

where  $m_B$  is the mass of the magnet assembly and  $F^L$  is the sliding force.

The working principle of the magnet assembly was previously described (Fig. 1b). As the inclination angle of the outer cylinder  $\xi_5$  (i.e. pitch motion) is higher than the minimum sliding angle associated with the given friction coefficient and lubrication condition, the magnet assembly starts to slide by gravity along the center rod.

288 The SDOF was defined in the body-fixed coordinate system located to the center of gravity of the outer cylinder. Since the coordinate center of the magnet assembly continuously moves at 289 each time step due to the dynamic motions of the outer cylinder, the non-inertia reference frame, 290 i.e., the accelerated coordinate system, was introduced to always keep the magnet assembly's 291 coordinate center with respect to the center of gravity of the outer cylinder. The SDOF motion is, 292 therefore, that of the magnet assembly relative to the body-fixed coordinate system of the outer 293 cylinder in the sliding direction. In this coordinate system, the inertial forces, which are also known 294 as the fictitious forces, should be added as external force terms. The inertial force is the production 295 of the mass of the magnet assembly and acceleration of the outer cylinder. Considering the head 296 wave condition, sway motion is generally small; thus, inertia force from sway motion was 297 neglected. Therefore,  $F^L$ , which includes inertial forces, can be formulated as: 298

$$F^{L}(t) = m_{B}\left(g\sin\xi_{5} + \ddot{\xi}_{3}\sin\xi_{5} - \ddot{\xi}_{1}\cos\xi_{5}\right) - \operatorname{sgn}\left(\dot{\xi}_{7}\right)\mu m_{B}\left(g\cos\xi_{5} + \ddot{\xi}_{3}\cos\xi_{5} + \ddot{\xi}_{1}\sin\xi_{5}\right)$$
(17)

301

where g is gravity acceleration and  $\mu$  is friction coefficient.

The equation of motion given in Eq. (17) does not account for the contact mechanism between two bodies at both ends of the outer cylinder. To realize the contact mechanism at both ends of the outer cylinder, conservation of momentum with the partial elastic condition was used. Then, the displacement and velocity of the magnet assembly in the sliding direction after a collision can be evaluated. The conservation of momentum and the coefficient of restitution can be expressed as:

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$$m_{a}v_{a}(t_{n}) + m_{b}v_{b}(t_{n}) = m_{a}v_{a}(t_{n+1}) + m_{b}v_{b}(t_{n+1})$$
(18)

$$R_{E} = \frac{v_{b}(t_{n+1}) - v_{a}(t_{n+1})}{v_{a}(t_{n}) - v_{b}(t_{n})}$$
(19)

312

where  $v_a$  and  $v_b$  are the velocities of the objects #1 and #2,  $m_a$  and  $m_b$  are the masses of object #1 and #2, and  $R_E$  is the coefficient of restitution. By combining Eqs. (18) and (19), the velocity of object #1 after the collision can be derived as

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317 
$$v_{a}(t_{n+1}) = \frac{m_{a}v_{a}(t_{n}) + m_{b}v_{b}(t_{n}) + m_{b}R_{E}\left[v_{b}(t_{n}) - v_{a}(t_{n})\right]}{m_{a} + m_{b}}$$
(20)

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For SR-WEC, the mass of the outer cylinder is designed to be much larger than that of the magnet assembly; therefore, the terms related to the mass of the magnet assembly in Eq. (20) can be neglected. Moreover, for the same reason, the contribution of a collision to the outer cylinder's motion is assumed to be small, and the velocity of the outer cylinder is not influenced by the collision. Also, the non-inertia reference frame keeps the velocity of the outer cylinder zero from the view of the magnet assembly. Based on the above considerations, Eq. (20) can be simplified with the current variables of SR-WEC as:

326

$$\dot{\xi}_{7}\left(t_{n+1}\right) = -R_{E}\dot{\xi}_{7}\left(t_{n}\right) \tag{21}$$

327 328

When the magnet assembly does not contact both ends, Eq. (16) was used to solve the dynamic equation of motion. After the contact, Eq. (21) gave the instantaneous displacement and velocity of the magnet assembly.

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### 333 **3.5. Procedure of Time-Domain Simulation**

Fig. 4 presents a flowchart describing the procedure of the present fully-coupled floater-334 mooring-generator time-domain simulation. First, the frequency-domain hydrodynamic 335 computation was completed to obtain the frequency-dependent hydrodynamic coefficients and 336 forces for the outer cylinder. Also, the initial parameters of the two bodies (outer cylinder and 337 magnet assembly), mooring line, and generator were entered. Second, the retardation function and 338 the added mass at infinite frequency were calculated. Third, at each time step, forces on two bodies 339 and a mooring line were evaluated, which includes the wave, PTO, spring, and sliding forces. 340 Fourth, the coupled equations of motion were solved by the Adams-Moulton implicit method 341 combined with the Adams-Bashforth explicit method, in which iteration within a time step is not 342 needed. The third and fourth steps were repeated until the last time step. 343

344



Fig. 4. Flowchart describing the time-domain simulation.

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## **4. Validation of Numerical Model**

Two comparative studies between the numerical simulation and experiment were carried out to validate the proposed simulation program: (i) the sliding mechanism of the magnet assembly without the LEG was confirmed by comparing the numerical simulations with the heave-pitch coupled actuator tests conducted by authors, and (ii) LEG dynamics by the present numerical simulation at different sea states was validated against laboratory tests conducted by Prudell et al.[10].

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# 355 4.1. Validation of Sliding Mechanism

Fig. 5 shows the test setup of the heave-pitch coupled actuator test. Table 2 presents the 356 dimension and mass of cylinders. An acrylic hollow tube was used for inner and outer cylinders 357 while a center rod was made of stainless steel. A linear ball bearing (SSU20 by Thomson) was 358 mounted to an inside of the inner cylinder to maximize the sliding performance with a low friction 359 coefficient. At both ends of the outer cylinder, Styrofoam dampers were located to mitigate the 360 impact force. There were two actuators (A-LST series by Zaber Technology) vertically installed 361 at both ends of the outer cylinder so that the heave-pitch coupled motion of the outer cylinder can 362 be emulated. As the outer cylinder moves with the actuators, the inner cylinder slides along the 363 center rod. For this test, LEG was not installed since the main objective of the test was to check 364 the sliding mechanism. 365

> End damper Inner Cylinder Linear Actuator Linear

(a) Components and equipment





Fig. 5. Test setup of heave-pitch coupled actuator tests.

Table 2. Scaled WEC's mass and dimension.

Item	Value	
Outer exlinder	Length	1.52 m
Outer cynnider	Mass	9.51 kg
Inner cylinder	Length	0.3 m
miler cymilder	Mass	2.1 kg
Center rod	Outer diameter	0.025 m

First, the minimum sliding angle of the inner cylinder was checked. The inclination angle was increased from 0.2 degrees with an interval of 0.05 degrees, which results in the observed minimum sliding angle of around 0.5 degrees. The corresponding static friction coefficient was estimated from the minimum sliding angle.

Second, the dynamic friction coefficient along the sliding and collision mechanism at the 375 ends were identified at different inclination angles. Fig. 6 shows the displacements of the inner 376 cylinder in the sliding direction at inclination angles of 2 and 3 degrees. The dynamic friction 377 coefficient is generally smaller than the static friction coefficient, and the identified dynamic 378 friction coefficient is 75 % of the static friction coefficient to match the experimental results before 379 380 a collision occurs. Also, the coefficient of restitution was checked by comparing the transient response after the collision. As shown in Fig. 6, the coefficient of restitution in the range of 0.36 381 382  $\sim 0.39$  best matches against the experiments. Those values were used in the ensuing simulation of SR-WEC. 383

384



Fig. 6. Time-history comparison of the displacement of the inner cylinder in the sliding direction
at the inclination angles of 2 (a) and 3 (b) degrees.

387

Third, a heave-pitch coupled actuator test was performed, and the experimental results were compared with our simulation results. Fig. 7 shows the measured time-histories of the outer cylinder's pitch and heave motions, and the corresponding displacements and velocities of the inner cylinder are presented in Fig. 8. The same condition was also inputted in the numerical

simulation. As shown in Fig. 8, with the coefficient of restitution of 0.38, the simulated magnitudes and trends of displacement and velocity coincide well against experimental values. Those wellmatched sliding responses with the experiments, where the collision and those two coupled motions were involved, validate the formulation of the SR-WEC mechanics as explained in the previous section.

397





399

Fig. 7. Time-history of measured pitch and heave motions.



- Fig. 8. Time-history comparison of displacement (a) and velocity (b) of the inner cylinder in the
  sliding direction for the heave-pitch coupled actuator tests.
- 402

#### 403 **4.2. Validation of Generator Dynamics**

The developed LEG simulation model was validated with the experimental data given in 404 Prudell et al. [10]. The present formulations related to generator dynamics were confirmed through 405 this validation. In the experiment, two-body heave-type WEC with LEG was tested in the 406 laboratory. Armature and magnet assemblies were installed at inner and outer buoys, respectively. 407 960 NdFeB magnets were used to construct magnet assembly, and the three-phase Y connection 408 was designed. The major LEG parameters are summarized in Table 3. Considering that the LEG 409 performance only depends on the relative displacement and velocity, the difference in WEC type 410 is irrelevant as long as those two inputs are the same. 411

Parameter	Value	Units
Number of magnetic poles	4	-
Magnetic flux density	0.9037	Т
Average circumference of the winding	1.81	m
Number of turns per slot	77	-
Reduction factor due to armature reaction	0.904	-
EMF magnitude at unit velocity	455.43	V
Phase resistance	4.58	Ω
Phase inductance	0.19	Н
Wire diameter	1.628	mm

Table 3. Major parameters for calculation of electric output power [10].

413

In their laboratory test, the dynamics of two floating bodies in waves was actually not 415 considered. Instead, they assumed that the inner buoy is stationary while the outer buoy moves 416 with wave elevation. Under this scenario, the heave-motion time series of the outer buoy is the 417 same as wave-elevation time series while the inner buoy is fixed. Therefore, they actually utilized 418 the measured time-histories of wave elevation as the relative heave motion between the two buoys. 419 Then, they recorded the measured output power from the physical LEG for 900 sec for 8 different 420 sea states. In the present numerical simulation, authors also generated the wave-elevation time 421 histories for 900 sec for the same sea states and used them as the input relative displacement of the 422 same numerical LEG. The wave-elevation time series were generated by superposing 100 regular 423 waves from the PM (Pierson-Moskowitz) spectrum. 424

Fig. 9 shows the time-history examples of wave elevation, the EMF, the induced current, 425 and input and output powers obtained by our numerical simulation in the case of significant wave 426 height H<sub>S</sub>=0.44 m, zero-crossing period  $T_Z$  =6.4 sec, and load resistance  $R_L$ =3.9  $\Omega$ . The time 427 histories are presented for the first 100 seconds. The LEG-related frequencies are higher than those 428 of wave elevation. In other words, the generator dynamics solver requires smaller time steps than 429 the floating-body dynamics solver. The 120-degree phase difference of the three-phase system was 430 also confirmed in the time histories. As summarized in Table 4, the calculated average output 431 powers are well-matched with those from experiments i.e. their maximum difference is only 5.2 432 %. The results in Table 4 demonstrate that the developed numerical LEG solver can be utilized for 433 the ensuing SR-WEC simulations. 434



Fig. 9. Time histories of wave elevation (a), EMF (b), induced current (c), and input and output
powers (d) for significant wave height of 0.44 m, zero-crossing period of 6.4 sec, and load
resistance of 3.9 Ω.

440Table 4. Comparison of average output power between numerical and physical LEGs in various

441 sea conditions [10].

Sea	Hs	$T_Z$	$R_L$	Average output power (kW)		Percentage
condition	(m)	(sec)	$(\Omega)$	Experiment	Simulation	difference (%)
1	0.44	6.4	3.9	0.177	0.179	1.1
2	0.64	6.2	4.1	0.368	0.387	5.0
3	1.02	7.6	4.3	0.669	0.658	1.7
4	1.25	7.6	4.4	0.920	0.917	0.3
5	1.52	7.6	4.7	1.237	1.224	1.1
6	2.03	7.6	5.2	1.758	1.734	1.4
7	2.54	7.6	5.8	2.207	2.141	3.0
8	3.04	7.6	6.4	2.587	2.455	5.2

- 443 5. Results and Discussions
- 444 5.1. Frequency-Domain Simulation

The frequency-domain simulation was firstly conducted to compute the hydrodynamic 445 coefficients and forces on the outer cylinder. A 3D diffraction/radiation program, WAMIT [28], 446 was used to estimate the frequency-dependent added mass, the radiation damping coefficient, and 447 the first-order wave-excitation force. These outputs were utilized in the time-domain simulation. 448 SR-WEC is half-submerged, and the submerged surface panels were modeled, as shown in Fig. 449 10. 30 wave frequencies were selected from 0.1 rad/s to 5.0 rad/s, and the wave direction was 450 parallel to the longitudinal direction of SR-WEC. The mass matrix is based on the entire SR-WEC 451 (See Table 1), including the magnet assembly located at the center of gravity of SR-WEC. 452





Fig. 10. Panel model of the wet surface of the outer cylinder with 468 panels.

454 455

Fig. 11 shows the resulting surge, heave, and pitch Response Amplitude Operators (RAOs). After convergence test with different panel numbers as in the previous study [38], the converged results are given in Fig.11. The pitch natural frequency is 1.65 rad/s (3.81 sec), and thus excellent sliding performance is expected at low sea states. The computed added masses and radiation damping coefficients for the 3-DOF motions are plotted in Fig. 12.

461





Fig. 11. Surge, heave (a), and pitch (b) RAOs from 3D diffraction/radiation program.





Fig. 12. Surge-heave-pitch added mass (a-b) and radiation damping (c-d) coefficients.

465

## 466 **5.2. Time-Domain Simulation**

The floater-mooring-LEG coupled dynamics simulations were performed in time domain 467 468 for the performance estimation of SR-WEC. First, the LEG model was established through the initial parametric study with variable magnitudes of EMF and load resistance. A single-phase 469 winding was employed in this study. Other generator parameters were the same as the parameters 470 given in Prudell et al. [10]. Second, the magnet assembly's travel length, the coefficient of 471 restitution at both ends, and the mass of the magnet assembly were selected for enhancing SR-472 WEC's performance. After each stage, the selected parameters were used as fixed parameters for 473 the next parametric study. Last, the power-generation performance was quantitatively evaluated 474 with the selected parameters under various random-wave excitations. 475

The time-domain model accounts for the viscous drag force on the outer cylinder. For the translational motions, the Morison equation was utilized with a drag coefficient of 0.5 acting at the outer cylinder's center of gravity, taking advantage of reference value in the experimental study at the representative surface roughness and Reynolds number [39]. For the rotational motions, a viscous damping ratio of 3% was assumed and inputted to the external damping matrix  $B_{ij}^E$ . The time step in the time-domain simulation was 0.005 sec to accommodate the generator dynamics accurately.

#### 484 **5.2.1. Environmental Condition**

The JONSWAP wave spectrum was used for generating the time history of random waves. The range of significant wave heights was from 1 to 3.5 m while that of peak periods was from 4 to 11 sec. The time history of wave elevations was generated by the superposition of 100 regular waves, and signal repetition was prevented by the adoption of randomly perturbed frequency intervals. The total simulation time for each case was 1200 seconds, for which the ramping time of 300 seconds was not included in the statistical assessment. The enhancement parameter  $\gamma$  in the JONSWAP wave spectrum was estimated by the proposed equation in Ref [40]:

492

493

$$\gamma = 5, \qquad \text{on} \quad T_P / \sqrt{H_S} \le 3.6$$
  

$$\gamma = \exp\left(5.75 - 1.15T_P / \sqrt{H_S}\right), \qquad \text{on} \quad 3.6 < T_P / \sqrt{H_S} < 5$$
  

$$\gamma = 1, \qquad \text{on} \quad 5 \le T_P / \sqrt{H_S}$$
(22)

494

#### **5.2.2.** Parametric Study 1: Magnitudes of EMF and Load Resistance

Magnitudes of EMF (or magnitudes of PTO force i.e. term  $B_f l_c$ ) and load resistance were 496 first considered to design the PTO system. The PTO force acting on both the magnet and armature 497 assemblies is a function of the induced current as given in Eq. (14), and the induced current is also 498 related to the magnitudes of EMF, phase and load resistances, and phase inductance. Then, it is 499 important to observe the relationship between these parameters and output power. In other words, 500 the proper selection of these parameters can provide excellent sliding performance and output 501 power simultaneously. Considering that EMF is a function of the relative velocity and the relative 502 velocity also results from the PTO force, which is induced by the load resistance, the following 503 parametric study can help in clarifying the role of LEG parameters to find improved output power. 504 In this parametric study, load resistance and the magnitude of EMF were in the range of 10

In this parametric study, load resistance and the magnitude of EMF were in the range of 10  $\Omega$  to 200  $\Omega$  (10  $\Omega$  interval) and 100 T·m to 500 T·m (100 T·m interval), respectively. During the parametric studies, 3 wave conditions were selected, as summarized in Table 5. The sliding length, the coefficient of restitution, and the mass of the magnet assembly were fixed at 3 m, 0.38 (i.e., obtained in the actuator test), and 400 kg, respectively.

- 510
- 511

Table 5. Wave conditions for parametric studies.

$H_{S}(\mathbf{m})$	$T_P$ (sec)	γ	Mean wave slope (deg)
1.0	5.0	1.0	6.4
2.0	6.0	2.4	7.2
3.0	7.0	3.0	7.5

512 513

Fig. 13 shows the time histories of displacement and velocity of the magnet assembly in

the sliding direction, EMF, induced current, output power, and pitch motion of the outer cylinder 514 at various load resistances and for Hs=2m. In Fig. 13, we show the simulation results for three 515 representative load resistances of 20, 50, and 80  $\Omega$  to explain results better with time histories 516 while the magnitude of EMF was set to 300 T·m. The sliding performance varies with different 517 load resistances, as shown in Fig. 13(a-b). Both sliding displacements and velocities increase with 518 the increasing load resistance. The trend of EMF is similar to that of relative velocity i.e. larger 519 EMF at larger load resistance, as shown in Fig. 13(c). However, the current is inversely 520 proportional to load resistance, as represented in Fig. 13(d). The smallest induced current in the 521 circuit contributes to the reduction of the PTO force. As a result, the highest output power is 522 acquired at the load resistance of 80  $\Omega$ , as shown in Fig. 13(e). Time histories for other load-523 resistance cases over 80  $\Omega$  were also checked. The results show that even if the sliding performance 524 is better, the higher output power is not observed owing to a large reduction in the current that is 525 a source of output power. Moreover, it is confirmed that the motion of the magnet assembly is 526 highly influenced by the pitch motion of the outer cylinder when Fig. 13(a-b) is compared with 527 Fig. 13(f). In comparison between Fig. 13 (a) and (e), it turns out that the given design has 528 significant power generation when the reaction velocity occurs from the collision. 529





Fig. 13. Time histories of displacement (a) and velocity (b) of the magnet assembly, EMF (c), induced current (d), output power (e), and pitch motion of outer cylinder (f) at different load resistances ( $H_S=2$  m,  $T_P=6$  sec).

Fig. 14 shows the average output power and load resistance at different magnitudes of EMF 535 and wave conditions. The average output power is obtained from the time histories measured for 536 900 s. The observed load resistance with the highest output power at each magnitude of EMF is 537 the same regardless of wave conditions. The larger the magnitude of EMF, the higher the load 538 resistance. Besides, when the magnitude of EMF is higher than 300 T<sup>.</sup>m, there is no change in 539 output power as the magnitude of EMF increases, and this phenomenon is observed regardless of 540 the sea state. The magnitude of EMF and load resistance that produce the maximum average output 541 power are 300 T m and 80  $\Omega$ , respectively. These selected LEG parameters were to be fixed for 542 the next parametric study. 543

544





546

power at different magnitudes of EMF and wave conditions.

547

# 548 5.2.3. Parametric Study 2: Travel Length

The travel length of the magnet assembly, defined as the length between two ends minus the length of the magnet assembly, can improve the sliding performance. Various lengths of 2 m to 6 m were selected with 1-m interval and the proper length was evaluated. The coil is installed

at the full length. Fig. 15 shows the time histories of displacement and velocity of the magnet 552 assembly in the sliding direction, output power, and pitch motion of the outer cylinder at the 553 different travel lengths. The magnet assembly can slide until it reaches both ends. In Fig. 15(a-b), 554 interestingly, the magnet displacements and velocities become maximum when the travel length 555 is 4 m. When the travel length is 2 m, the magnet assembly has to stop before it reaches the 556 potentially maximum velocity. When the travel length is 6 m, the magnet does not slide the full 557 length under the given wave condition as in Fig.15, so there is no improvement in output power. 558 The magnet assembly gradually stops due to its inertial forces even after the pitch motion of the 559 outer cylinder is switched in another direction. In this case, it can miss the best moment to slide in 560 the other direction. Therefore, for the given wave conditions, the travel length at which average 561 power becomes the highest is 4 m, as presented in Fig. 15(c). Moreover, the timely collisions at 562 the ends are beneficial in increasing magnet sliding velocity, as in the case of travel lengths of 2 563 m and 4 m. Furthermore, significant variations between cases are observed after contacts at both 564 ends occur under the large pitch motion of the outer cylinder (780-800 sec). When there is no 565 contact under the small pitch motion (755-780 sec), there is a minor difference in velocity since 566 other parameters and the pitch motions are the same among them. 567





Fig. 15. Time histories of displacement (a) and velocity (b) of the magnet assembly, output power (c), and pitch motion of outer cylinder (d) at different travel lengths ( $H_S=2$  m,  $T_P=6$  sec).

Fig. 16 presents average output powers at different travel lengths and wave conditions. In 572 the case of  $H_S=2$  m ( $T_P=6$  sec) and  $H_S=3$  m ( $T_P=7$  sec), the output power does not increase even 573 though the travel length is increased beyond 4 m; instead, the output power rather starts to decrease 574 after travel length=4 m. Therefore, travel length=4 m can be considered as the appropriate travel 575 length after averaging the output powers of the 3 sea states. The case is also benefited by timely 576 end collision. Higher significant wave heights generate greater pitch motions, which increases the 577 sliding performance and output power. However, when the pitch motion is much larger than that 578 of current environmental conditions, the design with the travel length of 6 m may be able to 579 generate higher output power, which is discussed in Section 5.2.6. If the travel length is too short, 580 the magnet assembly quickly reaches one end and remains almost stationary until it slides in the 581 other direction. So, the corresponding power-generation efficiency becomes relatively low. The 582 travel length was fixed to be 4 m for the next parametric study. 583

584



585

Fig. 16. Average output power at different travel lengths and wave conditions.

586

# 587 5.2.4. Parametric Study 3: Coefficient of Restitution

It was found in the previous parametric study that the timely elastic collision at both ends (+2 m and -2 m) helps the magnet assembly to better slide with rebounding velocities. To confirm the improvement of the sliding performance due to the reactive velocity from the collision, we analyzed the effect of the coefficient of restitution on the average output power. In this regard, three restitution coefficients, 0.01, 0.38 (given by the actuator test), and 0.8 were considered.

Fig. 17 shows the time histories of displacement and velocity of the magnet assembly in 593 the sliding direction, output power, and pitch motion of the outer cylinder with the three different 594 coefficients of restitution. Again, we observe the contact-induced substantial variations under the 595 different coefficients of restitution when there is a significant pitch motion of the outer cylinder 596 (780-800 sec). There is no contact when pitch motion is small (i.e. 750-780 sec), which leads to a 597 minor difference in the sliding velocities since other parameters and the pitch motions are the same 598 among them. When the timely collisions happen at the last stage of Re=0.38 and 0.8 cases (780-599 800 sec), the sliding velocities are increased due to the beneficial rebounding velocities after 600 collisions. The higher the coefficient of restitution, the better the sliding performance and higher 601 output power. This implies that the sliding performance can further be enhanced by placing highly 602 restitutive elastic springs at both ends. Fig. 18 presents the average output power at different 603

coefficients of restitution and wave conditions, and the above trends can further be confirmed. For
the following parametric study, the coefficient of restitution was to be fixed at 0.8.



Fig. 17. Time histories of displacement (a) and velocity (b) of the magnet assembly, output power (c), and pitch motion of outer cylinder (d) at different coefficients of restitution ( $H_S$ =2 m,  $T_P$ =6 sec).

610



Fig. 18. Average output power at different coefficients of restitution and wave conditions.

612

## **5.2.5. Parametric Study 4: Mass of the Magnet Assembly**

Since gravity is the primary source of power generation, the mass of the magnet assembly can significantly affect the sliding performance. In this regard, the magnet assembly's mass was varied in the simulations from 200 kg to 400 kg whereas the previous best parameters, including

the coefficient of restitution of 0.8, were fixed. Fig. 19 shows the time histories of displacement 617 and velocity of the magnet assembly in the sliding direction, output power, and pitch motion of 618 the outer cylinder at different magnet masses. As shown in Fig. 19(a-c), the heavier the mass of 619 the magnet assembly, the higher the sliding kinematics and output power. Moreover, significant 620 621 variations among the cases are noticeably detected when contacts at both ends take place under the substantial pitch motion (180-200 sec). The average output power is presented in Fig. 20 for 622 various magnet masses and sea states, and it also supports the previously mentioned trend. Thus, 623 the mass of magnet assembly=400 kg was used in the previous simulations. Of course, much larger 624 magnet mass beyond 400 kg will generate higher power but may cause larger structural impacts at 625 both ends and also affect the pitch performance through the moment induced by the magnet mass 626 and time-varying pitch mass moment of inertia. The center rod should also be strong enough to 627 support a much larger magnet mass without bending. 628



 $6_{30}$ Fig. 19. Time histories of displacement (a) and velocity (b) of the magnet assembly, output $6_{31}$ power (c), and pitch motion of outer cylinder (d) at different masses of the magnet assembly $6_{32}$  $(H_S=2 \text{ m}, T_P=6 \text{ sec}).$ 

633



Fig. 20. Average output power at different masses of the magnet assembly and wave conditions.

#### 636 5.2.6. Output-Power Calculations in Various Random Wave Conditions

The previous parametric studies demonstrate that adjustment of various system parameters 637 can significantly improve the sliding performance and output power under 3 different wave 638 639 conditions, as shown in Figs. 14, 16, 18, and 20. Much more time-domain simulations with enlarged random wave conditions were additionally performed to check the corresponding output 640 power by using the parameters selected from the previous sections. The selected values of EMF 641 magnitude, load resistance, travel length, coefficient of restitution, and magnet mass were 300 642 T·m, 80  $\Omega$ , 4 m, 0.8, and 400 kg, respectively, denoted as Case 1. As summarized in Table 6, we 643 additionally simulated four more cases (Cases 2-5) to compare whether the chosen parameters can 644 provide high output power. 645

646

Case	EMF magnitude	Load	Travel length	Coefficient of	Magnet mass
number	(T·m)	resistance $(\Omega)$	(m)	restitution	(kg)
1	300	80	4	0.8	400
2	200	40	4	0.8	400
3	300	80	6	0.8	400
4	300	80	4	0.38	400
5	300	80	4	0.8	300

647 Table 6. Case description.

648

Fig. 21 shows the average output powers and RMS (root mean square) values of pitch 649 motion of the outer cylinder at different significant wave heights from 1 m to 3.5 m and peak 650 periods from 4 sec to 11 sec. Recall that the pitch natural frequency is 1.65 rad/s (3.81 sec). In this 651 case, large pitch motions usually occur at low peak periods being closer to the pitch natural period, 652 as shown in Fig. 21(f). Larger wave height also causes larger pitch motion under the identical peak 653 period. Combining these two facts, it can be expected that the largest average output power occurs 654 at the bottom-right corner of Fig.21(a-e) i.e. the lowest peak period and the highest wave height 655 (H<sub>5</sub>=3.5 m,  $T_P$ =4 sec). For Case 1, the corresponding average output power under the wave 656 condition is 2.66 kW. However, even with Hs=3.5 m at  $T_P=11$  sec (right-top corner), the average 657 output power is only 0.13 kW. Therefore, the present SR-WEC is particularly designed to be 658

efficient at low sea states, which is good since they cover more than 90% of typical annual sea 659 states. In general, Case 1 shows the relatively high average output power compared with other 660 cases. There are several environmental conditions where Case 2 has slightly higher output power 661 than Case 1. Noticeably, in some conditions with the significant pitch motion at high wave height 662 663 and low peak period, Case 3, in which travel length is 6 m, generates higher power than Case 1. For example, the maximum output power is 3.82 kW for Case 3 at Hs=3.5 m, Tp=4 sec. However, 664 Case 1 still produces higher annual average power in that the occurrence of such wave condition 665 is low. These comparisons support the parametric studies' role in finding a good combination of 666 parameters to improve output power. The metaheuristic optimization algorithms such as the 667 668 genetic algorithm and harmony search can be applied to optimize parameters to enhance output power further, and the results of the present parametric studies can be utilized to define the upper 669 and lower boundaries of each parameter. 670

We calculated the capture width ratios for Case 1 with the average wave power and 671 generated output power under random wave excitations [41], which are 9.3% at  $H_s = 1.5$  m and  $T_P$ 672 = 4 sec and 3.3% at  $H_S$  = 1 m and  $T_P$  = 5 sec. 673



(c) Case 3

Significant Wave Height (m)

2.5

3.5

1.5

2.0

674

(d) Case 4

Significant Wave Height (m)

2.5

3.0

3.5

1.5

2.0





Fig. 21. Average output powers for Cases 1-5 (a-e) and RMS value of pitch motion of outer cylinder for Case 1 (f) under different random wave excitations.

Finally, we also checked the safety of the mooring line for various sea states, as presented 678 in Fig. 22. In this case, studlink R4 chain was used, whose minimum breaking load (MBL) is 263.9 679 kN. When the safety factor of 1.67 is applied, as suggested by the API-mooring-design guideline, 680 the allowable maximum mooring tension is 158 kN. In the present simulations, the maximum 681 mooring tension is 126.7 kN in the case of Hs=3.5 m and  $T_P=4$  sec, for which the largest outer-682 683 cylinder pitch motions and maximum power generation occur. It proves that the given mooring design is acceptable. In addition, the RMS value of mooring tension is large at high significant 684 wave height and low peak period, similar to output power and pitch motion. 685





Fig. 22. Maximum mooring tension (a) and RMS value (b) of mooring tension under different
random wave excitations (Case 1).

689

# 690 6. Conclusions

691

In this study, the performance of SR-WEC was evaluated by the floater-mooring-LEG

coupled time-domain simulations. SR-WEC consists of two bodies: the outer cylinder with an
armature assembly (body #1) and a magnet assembly (body #2) sliding inside. For SR-WEC, the
sliding displacement and velocity are mainly caused by gravity acceleration and the outer
cylinder's motions, and they lead to electrical power generation.

During the developing stage, the proposed simulation tool was verified step by step by comparisons with laboratory tests. First, the numerically simulated sliding displacement and velocity were compared with those of heave-pitch coupled actuator tests by authors, which shows a good match. Second, the present generator-dynamics numerical solver was verified by the LEG experiment of [10], and the overall comparison is also excellent.

After verifying the simulation program, a series of parametric studies were carried out 701 under different random wave conditions to find the appropriate design with increased output 702 power. The selected design parameters were the magnitude of EMF, load resistance, travel length, 703 mass of the magnet assembly, and restitution coefficient of end dampers. The time histories of 704 sliding displacement and velocity of the magnet assembly and output power show that the 705 performance of SR-WEC depends appreciably on those design parameters. After setting all the 706 optimized parameters, the average output power was evaluated under largely extended random-707 wave conditions. The best performance of the SR-WEC is observed when the wave peak period is 708 close to its natural period with the highest wave height. The resulting peak average output power 709 is 2.66 kW at  $H_S$ = 3.5 m and  $T_P$ =4 sec. By riding along the surface without much structural 710 resistance, mooring and structural designs can have benefits. 711

The SR-WEC performance can further be improved with semi-active and/or active control systems, such as the movable ring-type masses adjusting pitch natural frequency, latching control, and PTO-force control, which will be the subject of next study.

715

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